

01/17/02
JC950 U.S. PTO

01-23-02

A
JC972 U.S. PTO
10/052887
01/17/02

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.: 20010376.ORI
First Inventor: James A. Esler
Title: Method and Apparatus for Recording Fault History Information

Express Mail Label No.: EL 804138465 US

Address to: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Application Elements:

1. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
2. ☒ Specification Total Pages: 12
3. ☒ Drawings Total Sheets: 2
4. Oath or Declaration Total Pages:
 - a. ☒ Newly executed.
 - b. ☐ Copy from prior application
(for continuation/divisional with Box 18 completed)
5. ☒ Application Data Sheet.

Accompanying Application Parts

6. ☒ Assignment Papers (cover sheet & document)
7. ☐ Information Disclosure Statement
8. ☐ Preliminary Amendment
9. ☒ Return Receipt Postcard

10. ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
11. ☒ Certificate of Mailing Via Express Mail
12. ☒ Check in the amount of \$1060.00.
13. ☐ If a **CONTINUING APPLICATION** (check appropriate box and supply requisite information below and in a preliminary amendment, or in an Application Data Sheets under 37 CFR 1.76):

☐ Continuation ☐ Divisional ☐ Continuation-in-Part

of prior application Serial No.:

Prior application information: Examiner: Group Art Unit:

☒ **Assignee Information for Inclusion on the Patent Application Publication:**

Assignee: Cardiac Pacemakers, Inc.
Address: 4100 Hamline Avenue North
St. Paul, MN 55112

Method of Payment

☒ Payment enclosed by check to cover the filing and recording fees.

☒ The Commissioner is authorized to charge any fees or refund any overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 08-1265 under Deposit Account name of Nikolai & Mersereau, P.A.

Correspondence Address:

Customer No.: 23595

Typed Attorney Name: Thomas J. Nikolai
Attorney Registration No.: 19,285
Telephone Number: 612-339-7461

Signature: 

Date: Jan 17

, 2002.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$1060.00)

Complete if Known

Application Number
Filing Date January 17, 2002
First Named Inventor James A. Esler
Examiner Name
Group Art Unit
Attorney Docket No. 20010376.ORI

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 08-1265
Deposit Account Name Nikolai & Mersereau

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	740.
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$740.)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims Independent Claims Multiple Dependent
Extra Claims -20** = X Fee from below Fee Paid
-3** = X Fee Paid
Multiple Dependent = 280.

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$280.)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type) Thomas J. Nikolai Registration No. 19283 Telephone 612-339-7461
Signature [Signature] (Attorney/Agent) Date 01/17/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Express Mail No. EL804138465US
PATENT APPLICATION
Docket No. 20010376.ORI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : James A. Esler : January 17, 2002
For : Method and Apparatus for Recording Fault
History Information

CERTIFICATE OF MAILING VIA EXPRESS MAIL

ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATIONS
WASHINGTON, D.C. 20231

Sir:

I hereby certify that the attached patent application consisting of 9 pages of specification, 2 pages of claims, abstract, 2 sheets of formal patent drawings, 2 pages of an executed Declaration, Power of Attorney, and Petition, 2 pages of an executed Assignment, a utility patent application transmittal, a Recordation Form Cover Sheet, a Patent Application Data Entry Format, a return receipt postcard, and a check in the amount of \$1060.00 in payment of the filing and recording fees, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to:

ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATIONS
WASHINGTON, D.C. 20231

under Express Mail Post Office to Addressee Label No. EL 804138465 US.

Respectfully submitted,

NIKOLAI & MERSEREAU, P.A.



Linda J. Rice
On Behalf of Thomas J. Nikolai
Attorney for Applicant
900 Second Avenue South, #820
Minneapolis, MN 55402
(612) 339-7461

20010376.ORI